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## EMBEDDING FOUR MANIFOLDS IN $\mathbf{R}^7$

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HAEFLIGER and Hirsch proved in [8] that a closed smooth  $n$ -manifold ( $n \neq 4$ ) embeds smoothly in  $\mathbf{R}^{2n-1}$  if and only if the normal Stiefel–Whitney class  $\bar{w}_{n-1}(M) = 0$ . A PL case analogue of dimension 4 of their Theorem was proved in [9] by Hirsch. It is natural to ask if the above Theorem is also valid in dimension 4. The smooth embedding of orientable four manifolds were considered by several authors [2], [16]. In [2] Boéchat and Haefliger proved that a closed oriented smooth four manifold  $M$  embeds smoothly in  $\mathbf{R}^7$  if and only if there exists a class  $\omega \in H^2(M; \mathbf{Z})/\text{torsion}$  satisfying  $\omega^2 = \text{sign}(M)$  and  $\omega x \equiv x^2 \pmod{2}$  for every  $x \in H^2(M; \mathbf{Z})/\text{torsion}$ . In this paper we will generalize (and reprove) their result to nonorientable four manifolds. We also investigate the question when a topological four manifold embeds locally flat in  $\mathbf{R}^7$ . As a corollary of a Theorem of Donaldson [6] the answer for orientable smooth four manifolds simplifies.

**MAIN THEOREM (A).** *A smooth closed four manifold embeds smoothly into  $\mathbf{R}^7$  if and only if  $\bar{w}_3(M) = 0$ .*

**(B).** *A topological closed four manifold embeds locally flat into  $\mathbf{R}^7$  if and only if*

- (i) *In the nonorientable case,  $\bar{w}_3(M) = 0$  and  $KS(M) = 0$ .*
- (ii) *In the orientable case, there exists a class  $\omega \in H = H^2(M; \mathbf{Z})/\text{torsion}$  so that*

$$\omega^2 = \text{sign}(M), \omega x \equiv x^2 \pmod{2} \quad \text{for every } x \in H, \text{ and } KS(M) = 0,$$

where  $KS(M) \in \mathbf{Z}_2$  is the Kirby–Siebenmann obstruction.

An interesting byproduct of our method is

**COROLLARY 1.** *If  $M$  is a closed nonorientable smooth (topological) four manifold with  $\bar{w}_3(M) = 0$  (and  $KS(M) = 0$ ), then there is a smooth (topological) immersion  $f: M \rightarrow \mathbf{R}^7$  which cannot be regularly homotopic to an embedding.*

By Freedman [7] every symmetric unimodular form over integers is realized as the intersection form of a closed topological four manifold with trivial Kirby–Siebenmann obstruction. The Theorem (B) (ii) leads to an interesting problem on quadratic forms. Using information about modular forms one can obtain

**COROLLARY 2.** *Let  $M$  be an oriented closed topological four manifold with  $KS(M) = 0$ , then  $M$  embeds into  $\mathbf{R}^7$  locally flat if one of the following conditions is fulfilled:*

- (i)  $\mathbf{I}_M$  is indefinite or  $\text{rank } H_2(M; \mathbf{Q}) \leq 16$ ,
- (ii)  $\mathbf{I}_M$  is positive definite even type and  $\text{rank } H_2(M; \mathbf{Q}) \leq 72$ ,

where  $\mathbf{I}_M$  is the intersection form of  $M$ .

In the proof we will in sections 1 and 2 consider the smooth case. In section 3 we discuss the necessary changes for the topological case and the proof of the second corollary.

### 1. THE SPIN BORDISM GROUP $\Omega_6^{\text{spin}}(\mathbf{K}(\mathbf{G}; 2))$

In order to prove our Theorem it is necessary to analyze the spin bordism group  $\Omega_6^{\text{spin}}(\mathbf{K}(\mathbf{Z}; 2))$  and  $\Omega_8^{\text{spin}}(\mathbf{K}(\mathbf{Z}_2; 2))$ , where  $\mathbf{K}(\mathbf{G}; 2)$  is the Eilenberg–MacLane space.

**PROPOSITION 1.1.**  $\Omega_6^{\text{spin}}(\mathbf{K}(\mathbf{Z}; 2)) \cong \mathbf{Z} \oplus \mathbf{Z}$  and a spin bordism class  $[M, s, f] \in \Omega_6^{\text{spin}}(\mathbf{K}(\mathbf{Z}; 2))$  is zero if and only if

- (1).  $\langle f^*(x^3), [M] \rangle = 0$
- (2).  $\langle p_1(M) \cup f^*(x), [M] \rangle = 0$

where  $x \in H^2(\mathbf{K}(\mathbf{Z}; 2))$  is a generator and  $p_1(M)$  the first Pontrjagin class.

*Proof.* First note the odd torsion of  $\Omega_6^{\text{spin}}(\mathbf{K}(\mathbf{Z}; 2))$  is isomorphic to that of  $\Omega_6^{\text{so}}(\mathbf{K}(\mathbf{Z}; 2))$  which is zero by Conner–Floyd [4] Theorem 17.5. Now by Stong [15] p. 354 it follows that  $\Omega_6^{\text{spin}}(\mathbf{K}(\mathbf{Z}; 2)) \cong \Omega_4^{\text{spin}^c}$ . The Thom spectrum  $M\text{Spin}^c$  has the following well known 2-primary decomposition

$$M\text{Spin}^c(2n) \simeq \prod_1 BU(2n + 4n(I), \dots) \times \prod_i K(\mathbf{Z}_2; 2n + \deg z_i)$$

for  $n$  large and our range it is  $BU(2n, \dots) \times BU(2n + 4, \dots) \times \dots$ . Hence

$$\Omega_6^{\text{spin}}(\mathbf{K}(\mathbf{Z}; 2)) \cong \Omega_4^{\text{spin}^c} \cong \mathbf{Z} \oplus \mathbf{Z}$$

Since the forgetful homomorphism

$$\Omega_6^{\text{spin}}(\mathbf{K}(\mathbf{Z}; 2)) \rightarrow \Omega_6^{\text{so}}(\mathbf{K}(\mathbf{Z}; 2))$$

is a rational isomorphism, thereby it is also a monomorphism. The others of the Proposition follow by [4] Theorem 17.5.

**PROPOSITION 1.2.**  $\Omega_8^{\text{spin}}(\mathbf{K}(\mathbf{Z}_2; 2)) \cong \mathbf{Z}_2$  and the homomorphism

$$\Omega_8^{\text{spin}}(\mathbf{K}(\mathbf{Z}_2; 2)) \rightarrow \mathbf{Z}_2$$

$$[M, s, f] \mapsto \langle f^*(x^3), [M] \rangle \in \mathbf{Z}_2$$

is an isomorphism, where  $x \in H^2(\mathbf{K}(\mathbf{Z}_2; 2), \mathbf{Z}_2)$  is the generator.

*Proof.* First we claim that  $\Omega_8^{\text{spin}}(\mathbf{K}(\mathbf{Z}_2; 2)) = 0$ . Let  $[M, s, f] \in \Omega_8^{\text{spin}}(\mathbf{K}(\mathbf{Z}_2; 2))$ , if  $\alpha \in \pi_1(M)$ , up to homotopy we can choose an embedding  $\alpha: S^1 \times D^4 \rightarrow M$  agree with the spin structure  $s$  and represents the homotopy class  $\alpha$ . By homotopy extension Theorem it can be assumed that  $f|_{\alpha(S^1 \times D^4)}$  is constant. Surger  $[M, s, f]$  by using  $\alpha$  we obtain a triple  $[M', s', f']$  and the bordism class is unchanged, hence we can assume  $M$  is 1-connected. Similarly, if  $\alpha \in H_2(M)$ ,  $f_*(\alpha) = 0$ , represents  $\alpha$  by an embedded handle and surger  $M$  by it, then we get another representation of the bordism class  $[M, s, f]$ . By Smale [14] the torsion of  $H_2(M)$  is isomorphic to  $\mathbf{T} \oplus \mathbf{T}$ , where  $\mathbf{T}$  is a torsion group. Hence the torsion of  $\text{Ker } f_*$  is also nonzero if  $\text{tor } H_2(M)$  is nonzero. If  $\alpha \in \text{Ker } f_*$  is a finite order nonzero element, surger by  $\alpha$  we get a triple  $[M', s', f']$  with the order of torsion  $H_2(M')$  less than the order of torsion  $H_2(M)$  and  $\text{rank } H_2(M') = \text{rank } H_2(M) + 1$ . Continuing this process we can

replace  $[M, s, f]$  by a triple with  $M$  simply connected and  $H_2(M)$  torsion free. Now surger  $M$  again by some primitive elements of  $H_2(M)$ , we can assume  $H_2(M) \cong \mathbf{Z}$ . Hence  $M$  is diffeomorphic to  $S^2 \times S^3$  (c.f. Small [14]). Note  $S^2 \times S^3$  admits an unique spin structure and  $f_0: S^2 \times S^3 \rightarrow K(\mathbf{Z}_2; 2)$  can extend to a  $f'_0: S^2 \times D^4 \rightarrow K(\mathbf{Z}_2; 2)$ . Hence  $[S^2 \times S^3, s, f_0] = 0$ . Thus  $[M, s, f] = 0$  and  $\Omega_5^{\text{spin}}(K(\mathbf{Z}_2; 2)) = 0$ .

Note  $\Omega_6^{\text{spin}}(K(\mathbf{Z}_2; 2))$  has no odd torsion, by Anderson–Brown–Peterson [1] we have the isomorphism

$$\Omega_6^{\text{spin}}(K(\mathbf{Z}_2; 2)) \cong \pi_{8n+6}(\text{BO}(8n, \dots) \wedge K(\mathbf{Z}_2; 2))$$

where  $\text{BO}(8n, \dots)$  is the  $(8n-1)$ -connected cover of  $\text{BO}$ . It is well known that the  $(8n+5)$ -skeleton of  $\text{BO}(8n, \dots)$  is  $S^{8n} \cup_{\alpha} e^{8n+4}$ , where  $\alpha \in \pi_3^s$  is the generator. Hence

$$\Omega_6^{\text{spin}}(K(\mathbf{Z}_2; 2)) = \pi_{8n+6}((S^{8n} \cup_{\alpha} e^{8n+4}) \wedge K(\mathbf{Z}_2; 2))$$

Consider the long exact sequence

$$\begin{aligned} \pi_{8n+6}(S^{8n} \wedge K(\mathbf{Z}_2; 2)) &\rightarrow \pi_{8n+6}((S^{8n} \cup_{\alpha} e^{8n+4}) \wedge K(\mathbf{Z}_2; 2)) \rightarrow \pi_{8n+6}(S^{8n+4} \wedge K(\mathbf{Z}_2; 2)) \\ &\rightarrow \pi_{8n+5}(S^{8n} \wedge K(\mathbf{Z}_2; 2)) \rightarrow \pi_{8n+5}((S^{8n} \cup_{\alpha} e^{8n+4}) \wedge K(\mathbf{Z}_2; 2)) \\ &\cong \Omega_5^{\text{spin}}(K(\mathbf{Z}_2; 2)) \end{aligned}$$

By Milgram [12] p. 77 the table  $\pi_6^s(K(\mathbf{Z}_2; 2)) \cong \mathbf{Z}_2$ ,  $\pi_5^s(K(\mathbf{Z}_2; 2)) \cong \mathbf{Z}_2$  it follows that  $\Omega_6^{\text{spin}}(K(\mathbf{Z}_2; 2))$  has the order of at most 2. Since  $[CP^3, s, f] \in \Omega_6^{\text{spin}}(K(\mathbf{Z}_2; 2))$  has nonzero cohomology characteristic number, where  $f$  corresponds to the generator of  $H^2(CP^3; \mathbf{Z}_2)$ , hence  $\Omega_6^{\text{spin}}(K(\mathbf{Z}_2; 2)) \cong \mathbf{Z}_2$  and generated by  $[CP^3, s, f]$ . This proves the proposition.

## 2. CONSTRUCTION OF THE EMBEDDING

In this section we shall construct an embedding of four manifold in  $\mathbf{R}^7$  with a given normal bundle by gluing the disc bundle with a suitable manifold with boundary the sphere bundle along the boundary. Our main theorem is

**THEOREM 2.1.** *Let  $M$  be a closed smooth four manifold,  $\bar{w}_3(M) = 0$ . If  $\gamma$  is a 3-vector bundle over  $M$  such that  $\gamma \oplus \tau(M)$  trivial and the Euler class  $e(\gamma)$  vanish in case  $w_1(M) = 0$ , then  $M$  embeds in  $\mathbf{R}^7$  smoothly with the normal bundle  $\gamma$  if and only if there is an  $x \in H^2(S(\gamma); R)$  such that its evaluation at the fibre  $S^2$  of  $S(\gamma)$  is 1 and  $x^3 = 0$ , where  $S(\gamma)$  is the sphere bundle of  $\gamma$  and  $R = \mathbf{Z}$  or  $\mathbf{Z}_2$  by  $w_1(M) = 0$  or not.*

*Proof.* If  $M$  embeds in  $\mathbf{R}^7$  with a normal bundle  $\gamma$ , let  $B = S^7 - \text{Int}D(\gamma)$  be the complement of the disc bundle of  $\gamma$  in the one point compactification of  $\mathbf{R}^7$ . A Mayer–Vietoris sequence argument gives that

$$H^2(D(\gamma); R) \oplus H^2(B; R) \rightarrow H^2(S(\gamma); R)$$

is an isomorphism. Note  $e(\gamma) = 0$  since  $\gamma$  is a normal bundle, by Gysin exact sequence

$$0 \rightarrow H^2(M; R) \rightarrow H^2(S(\gamma); R) \xrightarrow{\phi} H^0(M; R) \rightarrow 0$$

it follows that  $H^2(S(\gamma); R) \cong H^2(M; R) \oplus R$  and hence  $H^2(B; R) \cong R$ . Let  $y \in H^2(B; R)$  be the generator and  $i: S(\gamma) \rightarrow B$  be the inclusion, it is easy to check  $\phi(i^*(y)) = 1 \in R$ , this means that the evaluation of  $i^*(y)$  at the fibre  $S^2$  is 1. By Proposition 1.1 and 1.2 it follows that  $i^*(y^3) = 0$  since  $(S(\gamma), i^*(y))$  bordant to zero. This proves half of the theorem.

Conversely, if  $\gamma$  is indicated as the theorem, then the sphere bundle  $S(\gamma)$  is a  $\pi$ -manifold, by Proposition 1.1 and 1.2 there exists a spin manifold  $B$  and a map  $f: B \rightarrow K(R; 2)$  such that  $\partial B = S(\gamma)$  and  $f|_B = x$ . By using spin surgeries it can be assumed that  $\pi_1(B) = 0$  and  $f_*: \pi_2(B) \rightarrow R$  is an isomorphism. If  $w_1(M) \neq 0$ , consider the long exact sequence

$$H_3(S(\gamma); \mathbf{Z}) \rightarrow H_3(D(\gamma); \mathbf{Z}) \rightarrow H_3(D(\gamma), S(\gamma); \mathbf{Z}) \rightarrow H_2(S(\gamma); \mathbf{Z}) \rightarrow H_2(D(\gamma); \mathbf{Z}) \rightarrow 0$$

since  $H_3(D(\gamma), S(\gamma); \mathbf{Z}) \cong \mathbf{Z}_2$  for  $w_1(\gamma) \neq 0$ ,  $H_3(D(\gamma), S(\gamma); \mathbf{Z}) \rightarrow H_3(D(\gamma), S(\gamma); \mathbf{Z}_2)$  is an isomorphism, by considering the long exact sequence above with  $\mathbf{Z}_2$  coefficients it follows that the above sequence breaks into a piece of length 3 as follows

$$0 \rightarrow H_3(D(\gamma), S(\gamma); \mathbf{Z}) \rightarrow H_2(S(\gamma); \mathbf{Z}) \rightarrow H_2(D(\gamma); \mathbf{Z}) \rightarrow 0$$

and  $H_3(S(\gamma); \mathbf{Z}) \rightarrow H_3(D(\gamma); \mathbf{Z})$  is an epimorphism. Moreover, one can check easily that the exact sequence above splits, hence

$$H_2(S(\gamma); \mathbf{Z}) \cong H_2(D(\gamma); \mathbf{Z}) \oplus \mathbf{Z}_2 \cong H_2(M; \mathbf{Z}) \oplus \mathbf{Z}_2$$

if  $w_1(M) = 0$ , similar to the above we obtain  $H_2(S(\gamma); \mathbf{Z}) \cong H_2(D(\gamma); \mathbf{Z}) \oplus \mathbf{Z}$ . Hence in both cases we have  $H_2(S(\gamma); \mathbf{Z}) \cong H_2(D(\gamma); \mathbf{Z}) \oplus R$  and the factor  $R$  is generated by a fibre of  $S(\gamma)$ . As the composition  $S^2 \rightarrow S(\gamma) \rightarrow K(R; 2)$  is a generator, hence the inclusion  $i: S(\gamma) \rightarrow B$  induces an epimorphism

$$i_*: H_2(S(\gamma); \mathbf{Z}) \rightarrow H_2(B; \mathbf{Z}) \text{ and } (i'_*, -i_*): H_2(S(\gamma); \mathbf{Z}) \rightarrow H_2(D(\gamma); \mathbf{Z}) \oplus H_2(B; \mathbf{Z})$$

is an isomorphism, where  $i': S(\gamma) \rightarrow D(\gamma)$  the inclusion. A Mayer-Vietoris sequences argument shows that the smooth 7-manifold  $X = D(\gamma) \cup_{S(\gamma)} B$  is 2-connected and the follows is exact:

$$H_3(S(\gamma); \mathbf{Z}) \xrightarrow{(i'_*, -i_*)} H_3(D(\gamma); \mathbf{Z}) \oplus H_3(B; \mathbf{Z}) \xrightarrow{j_1 + j_2} H_3(X; \mathbf{Z}) \rightarrow 0$$

For every element of  $H_3(X; \mathbf{Z})$  can be written as  $j_1(a) + j_2(b)$  for some  $a \in H_3(D(\gamma); \mathbf{Z})$  and  $b \in H_3(B; \mathbf{Z})$ , since  $i'_*$  is onto, hence there is an  $z \in H_3(S(\gamma); \mathbf{Z})$  such that  $i'_*(z) = a$ , note  $j_1(a) + j_2(b) = j_1 i'_*(z) + j_2(b) = j_2(i_*(z) + b)$ . Thus every element of  $H_3(X; \mathbf{Z})$  can be represented by an embedded sphere  $S^3$  in  $\text{Int } B$  since  $B$  is 1-connected. Therefore surger  $X$  by some embedded 3-spheres in  $\text{Int } B$  we can kill  $H_3(X; \mathbf{Z})$  and obtain a homotopy sphere  $\Sigma^7$ , note this process does not change the tubular neighbourhood of  $M$ . Thus remove a point not lies in  $M$  we get an embedding of  $M$  in  $\mathbf{R}^7$ . This completes the proof.

Recall the Theorem of Boechat-Haeffliger as follows:

**THEOREM (Boechat-Haeffliger).** *Let  $M$  be an oriented closed smooth 4-manifold,  $H = H^2(M; \mathbf{Z})/\text{torsion}$ , then  $M$  embeds in  $\mathbf{R}^7$  smoothly if and only if there exists an  $\omega \in H$  such that*

$$\omega^2 = \text{sign}(M), \omega x \equiv x^2 \pmod{2}$$

Let  $M$  be an oriented closed smooth four manifold,  $w_2(M) \in H^2(M; \mathbf{Z})$  the Stiefel-Whitney class,  $w_2(M)$  can be lifted to an integral coefficients cohomology class  $e \in H^2(M; \mathbf{Z})$ . Let  $e_1, \dots, e_n \in H^2(M; \mathbf{Z})$  be a series of primitive elements which project to  $H$  form a basis of it, let  $T$  be the torsion subgroup of  $H^2(M; \mathbf{Z})$ , then we have a direct sum decomposition  $H^2(M; \mathbf{Z}) \cong \langle e_1, \dots, e_n \rangle \oplus T$  where  $\langle e_1, \dots, e_n \rangle$  denote the span of  $e_1, \dots, e_n$ . Denote by  $\bar{\omega} = \sum_{1 \leq i \leq n} a_i e_i$  the element corresponding to  $\omega \in H$  as the above theorem,  $e = \sum_{1 \leq i \leq n} x_i e_i + y$ ,  $y \in T$ . Since  $ex \equiv w_2(M)x \equiv v_2(M)x \equiv x^2 \pmod{2}$  for all  $x \in H^2(M; \mathbf{Z})$ , where  $v_2(M)$  the Wu class of  $M$ , hence  $a_i = x_i \pmod{2}$  for  $1 \leq i < n$ . Let

$\mathbf{z} = \sum_{1 \leq i \leq n} a_i e_i + y \in H^2(M; \mathbf{Z})$ ,  $\mathbf{z} \pmod{2} = w_2(M)$ , and  $\mathbf{z}^2 = \bar{\omega}^2 = \text{sign}(M)$ . This shows that the existence of  $\omega \in H$  in the above theorem is equivalent to the class  $\mathbf{z} \in H^2(M; \mathbf{Z})$  such that  $\mathbf{z} \pmod{2} = w_2(M)$  and  $\mathbf{z}^2 = \text{sign} M$ .

*Proof of Boechat–Haefliger’s Theorem.* If  $M$  embeds in  $\mathbf{R}^7$  smoothly, by Theorem 2.1 there exists an  $x \in H^2(S(\gamma); \mathbf{Z})$  such that the evaluation of  $x$  at the fibre  $S^2$  is 1 and  $x^3 = 0$ . Consider the Gysin exact sequence

$$0 \rightarrow H^2(M; \mathbf{Z}) \rightarrow H^2(S(\gamma); \mathbf{Z}) \xrightarrow{\phi} H^0(M; \mathbf{Z}) \rightarrow 0$$

Note  $\phi(x) = 1$ ,  $\phi(x^3) = 0$ . By [8] there are two elements  $\alpha \in H^4(M; \mathbf{Z})$  and  $\beta \in H^2(M; \mathbf{Z})$  such that  $x^2 = \pi^*(\alpha) + x \cup \pi^*(\beta)$  and  $\beta \pmod{2} = w_2(\gamma) = v_2(M)$ ,  $4\alpha + \beta^2 = p_1(\gamma) = -3\text{sign} M$ . Hence  $0 = \phi(x^3) = \alpha + \beta^2 = 3/4(\beta^2 - \text{sign} M)$ , i.e.,  $\beta^2 = \text{sign} M$  and  $\beta \pmod{2} = v_2(M)$  which implies  $\beta x = x^2 \pmod{2}$ , this proves one direction.

Conversely, by the argument below the theorem we have an  $\omega \in H^2(M; \mathbf{Z})$  satisfies  $\omega^2 = \text{sign} M$ ,  $\omega \pmod{2} = w_2(M)$ . Up to homotopy  $\omega$  gives a map  $\omega: M \rightarrow CP^2$ . Let  $\xi$  be the normal bundle of  $CP^2$  in  $\mathbf{R}^7$ ,  $\gamma = \omega^* \xi$  be the pullback bundle of  $\xi$ , then  $p_1(\gamma) = -3\omega^2 = -3\text{sign} M$ ,  $w_2(\gamma) = \omega \pmod{2} = w_2(M)$ . By Dold–Whitney [5] it follows that  $\gamma \oplus \tau(M)$  is a trivial bundle. Note the Euler class  $e(\gamma) = 0$ . Let  $f: S(\gamma) \rightarrow S(\xi)$  be the sphere bundle map over  $\omega$ , by Theorem 2.1 there is an  $x \in H^2(S(\xi); \mathbf{Z})$  such that its evaluation at the fibre is 1 and  $x^3 = 0$ . Hence the evaluation of  $f^*(x)$  at the fibre of  $S(\gamma)$  is also 1 and  $(f^*(x))^3 = 0$ . By using Theorem 2.1 again we can embed  $M$  in  $\mathbf{R}^7$  with normal bundle  $\gamma$ . This completes the proof.

Let  $\gamma$  be as Theorem 2.1,  $w_1(M) \neq 0$ ,  $x \in H^2(S(\gamma); \mathbf{Z}_2)$  evaluates at the fibre 1, we remark that the value  $x^3 \in \mathbf{Z}_2$  does not depend on the choice of the class with evaluation 1 at the fibre. This follows directly from Massey [11] Theorem III. We summary it as

**PROPOSITION 2.2.** *Let  $M$  be a closed smooth nonorientable four manifold,  $\gamma$  be a 3-vector bundle over  $M$ ,  $w_3(\gamma) = 0$ ,  $w_2(\gamma) = v_2(M)$ . If  $x$  and  $x'$  are two elements of  $H^2(S(\gamma); \mathbf{Z}_2)$  both evaluate 1 at the fibre of  $S(\gamma)$ , then  $x^3 = x'^3$ .*

*Proof of main Theorem (A).* By Donaldson Theorem [6] and the above Boechat–Haefliger Theorem the orientable case follows. Hence we consider only the nonorientable case. It is well known that  $\bar{w}_3(M) = 0$  is a necessary condition for  $M$  embeds in  $\mathbf{R}^7$ , now we prove the other direction. Let  $\gamma$  be a 3-vector bundle over  $M$  such that  $\gamma \oplus \tau(M)$  trivial, this is possible as  $M$  can be immersed in  $\mathbf{R}^7$ . Note  $H^4(M; \mathbf{Z}) = \mathbf{Z}_2$  and the mod 2 reduction  $H^4(M; \mathbf{Z}) \rightarrow H^4(M; \mathbf{Z}_2)$  is an isomorphism. By Dold–Whitney [5] it follows that the stable orientable vector bundle over  $M$  is classified by the Stiefel–Whitney classes  $w_2$  and  $w_4$ . Let  $\alpha: S^4 \rightarrow BO(3)$  be a generator of  $\pi_4(BO(3)) \cong \mathbf{Z}$ , note the sphere bundle of  $\alpha$  is just  $CP^3$ . Let  $\gamma: M \rightarrow BO(3)$  be the classifying map of  $\gamma$ ,  $p: M \rightarrow M \vee S^4$  be the pinch map, it is easy to check that the composition  $g = (\gamma \vee \alpha) \circ p: M \rightarrow BO(3)$  gives also a normal bundle of an immersion of  $M$  in  $\mathbf{R}^7$ . Denote by  $S(\xi)$  the sphere bundle of  $g$  and  $S(\zeta)$  the sphere bundle of  $(\gamma \vee \alpha)$ , consider the following commutative diagram of Gysin exact sequences:

$$\begin{array}{ccccc} 0 \rightarrow H^6(S(\xi); \mathbf{Z}_2) & \xrightarrow{\phi} & H^4(M \vee S^4; \mathbf{Z}_2) & \rightarrow & 0 \\ \downarrow \bar{p}^* & & \downarrow p^* & & \\ 0 \rightarrow H^6(S(\zeta); \mathbf{Z}_2) & \xrightarrow{\phi} & H^4(M; \mathbf{Z}_2) & \rightarrow & 0 \end{array}$$

Note  $H^6(S(\xi); \mathbb{Z}_2) \cong \mathbb{Z}_2 \oplus \mathbb{Z}_2$  with two generators  $z_1$  and  $z_2$  satisfying  $\phi(z_1) = \{\zeta_M\}$ ,  $\phi(z_2) = \{\zeta_{S^4}\}$  where  $\{\zeta_{-}\}$  denotes the mod 2 cohomology fundamental class. If  $a \in H^2(S(\xi); \mathbb{Z}_2)$  is a generator with  $\phi(a) = 1$ , i.e., its evaluation at the fibre is 1, note the restriction of  $S(\xi)$  over  $M$  and  $S^4$  gives the sphere bundle  $S(\gamma)$  and  $CP^3$  resp, by Proposition 2.2 we get  $a^3 = \lambda z_1 + z_2$ , where  $\lambda \in \mathbb{Z}_2$  is the cube of the restriction of  $a$  at  $S(\gamma)$ . By the diagram above we have

$$\phi((\bar{p}^*a)^3) = p^*\phi(a^3) = p^*(\lambda\{\zeta_M\} + \{\zeta_{S^4}\}) = (\lambda + 1)\{\zeta_M\}$$

Therefore the value  $(\bar{p}^*a)^3$  change by 1 and thus there exists an bundle satisfies the condition of Theorem 2.1. This completes the proof.

*Proof of Corollary 1 in the smooth case.* Let  $g: M \rightarrow \mathbb{R}^7$  be an immersion,  $\gamma_g$  its normal bundle and  $a \in H^2(S(\gamma_g); \mathbb{Z}_2)$  evaluates at the fibre 1, if  $a^3 = 1$ , by Theorem 2.1 and Proposition 2.2  $\gamma_g$  can't become the normal bundle of any embedding of  $M$  in  $\mathbb{R}^7$ . If  $a^3 = 0$ , by the proof of **main** Theorem (A) we can obtain another immersion  $f: M \rightarrow \mathbb{R}^7$  with normal bundle  $\gamma_f$  by changing  $\gamma_g$  at the top dimension cell, and such that for an  $a_1 \in H^2(S(\gamma_f); \mathbb{Z}_2)$  evaluate 1 at fibre, then  $a_1^3 = 1$ . This completes the proof.

### 3. THE PROOF IN THE TOPOLOGICAL CATEGORY

The proof in the topological case follows the same idea and in the following we discuss the necessary changes.

**LEMMA 3.1.** *Let  $S(\gamma)$  be a  $S^2$ -bundle over a closed topological 4-manifold with structure group  $SO(3)$ ,  $w_3(\gamma) = 0$ , then there is an element  $a \in H^2(S(\gamma); \mathbb{Z}_2)$  whose evaluation at the fibre 1 and satisfying*

$$\langle KS(S(\gamma)) \cup a, [S(\gamma)]_2 \rangle = \langle KS(M), [M]_2 \rangle$$

where  $KS(\gamma)$  is the Kirby–Siebenmann obstruction and  $[ ]_2$  the mod 2 fundamental class.

*Proof.* As we denote in Section 2,  $\phi: H^{2+q}(S(\gamma); \mathbb{Z}_2) \rightarrow H^q(M; \mathbb{Z}_2)$  is the homomorphism in the Gysin exact sequence,  $w_3(\gamma) = 0$  implies that there is a class  $a \in H^2(S(\gamma); \mathbb{Z}_2)$  so that  $\phi(a) = 1$ . Let  $p: S(\gamma) \rightarrow M$  denote the projection of the bundle, the tangent bundle of  $S(\gamma)$  satisfies

$$\tau(S(\gamma)) \oplus \varepsilon \cong p^*(\tau M) \oplus p^*\gamma$$

and hence  $KS(S(\gamma)) = p^*(KS(M)) + p^*(KS(\gamma)) = p^*(KS(M))$ .

Note  $\phi: H^6(S(\gamma); \mathbb{Z}_2) \rightarrow H^4(M; \mathbb{Z}_2) \cong \mathbb{Z}_2$  is an isomorphism and thus one has

$$\phi(KS(S(\gamma)) \cup a) = \phi(p^*(KS(M) \cup a) = KS(M) \cup \phi(a) = KS(M)$$

this completes the proof.

Comparing with the smooth case to see what need to be changed, in Section 1 one has to compute the corresponding bordism group. The results are

**PROPOSITION 3.2(i).**  $\Omega_6^{TopSpin}(K(\mathbb{Z}; 2)) \cong \mathbb{Z} \oplus \mathbb{Z} \oplus \mathbb{Z}_2$

(ii).  $\Omega_6^{TopSpin}(K(\mathbb{Z}_2; 2)) \cong \mathbb{Z}_2 \oplus \mathbb{Z}_2$

and the following are monomorphisms

- (i).  $[M, s, f] \mapsto (\langle f^*(x^3), [M] \rangle, \langle p_1(M) \cup f^*(x), [M] \rangle, \langle KS(M) \cup f^*(x), [M]_2 \rangle)$
- (ii).  $[M, s, f] \mapsto (\langle f^*(x^3), [M]_2 \rangle, \langle KS(M) \cup f^*(x), [M]_2 \rangle)$

*Proof.* By Atiyah–Hirzebruch sequence it follows that  $\Omega_6^{\text{Topspin}}(K(\mathbf{Z}; 2)) \cong \mathbf{Z} \oplus \mathbf{Z}$  or  $\mathbf{Z} \oplus \mathbf{Z} \oplus \mathbf{Z}_2$ , and the torsion free part is detected by the first two characteristic numbers states in (i). Now we construct a nonzero torsion element. Let  $CH$  be the Chern manifold in [7],  $H$  the Hopf bundle over  $CP^2$ ,  $f: CH \rightarrow CP^2$  denote an orientation preserving homotopy equivalence,  $S(H \oplus \varepsilon)$  the sphere bundle of  $H \oplus \varepsilon$ , then  $S(H \oplus \varepsilon)$  and  $S(f^*H \oplus \varepsilon)$  are spin manifolds. By the same reason as Lemma 3.1 there is a class  $a \in H^2(S(H \oplus \varepsilon); \mathbf{Z})$  whose reduction mod 2 satisfies Lemma 3.1, consider the bordism class in  $\Omega_6^{\text{Topspin}}(K(\mathbf{Z}; 2))$ ,  $[S(H \oplus \varepsilon), s, a] - [S(f^*H \oplus \varepsilon), \bar{f}^*s, \bar{f}^*a]$ , where  $\bar{f}$  is the bundle map over  $f$  and  $s$  an arbitrary spin structure on  $S(H \oplus \varepsilon)$ . It is obvious that the first two characteristic numbers of the above bordism class are zero, by Lemma 3.1 the third characteristic number is  $KS(CH) \in \mathbf{Z}_2$ , which is nonzero by [7], this proves (i).

To prove (ii), first note that the nonzero  $E_2$ -term of the Atiyah–Hirzebruch sequence of  $\Omega_6^{\text{Topspin}}(K(\mathbf{Z}_2; 2))$  as the following,  $E_2^{2,4} \cong \mathbf{Z}_2$ ,  $E_2^{4,2} \cong \mathbf{Z}_2$ ,  $E_2^{6,0} \cong \mathbf{Z}_2$ . For smooth case, the  $E_2$ -term of corresponding bordism group are same as that and in the range  $(*, q)$ ,  $q < 4$ , the differentials are also same, hence by Proposition 1.2, one knows that  $E_2^{4,2}$  must be hit by the differential  $d_2$  or  $d_3$ , thus  $E_2^{4,2}$  doesn't survive in  $E_\infty^{4,2}$ . This argument shows that the order of group  $\Omega_6^{\text{Topspin}}(K(\mathbf{Z}_2; 2))$  is less than or equal to 4. On the other hand, by check the two invariants in Proposition 3.2(ii) for the bordism class  $(CP^3, s_1, x)$  and  $[S(H \oplus \varepsilon), s, a] - [S(\bar{f}^*H \oplus \varepsilon), \bar{f}^*s, \bar{f}^*a]$ , where  $s_1$  is the unique spin structure on  $CP^3$  and  $x \in H^2(CP^3; \mathbf{Z}_2)$  the generator, the conclusion (ii) follows immediately.

The arguments of Section 2 work identically in the topological category, one only has to see that  $KS(M) = 0$  is a necessary condition for locally flat embedding  $M$  in  $\mathbf{R}^7$ . In fact, since a locally flat embedded 4-manifold  $M$  has a normal bundle  $\gamma: M \rightarrow \text{BTOP}(3)$ , which can be lifted to  $\text{BDiff}(3)$  since the homotopy group  $\pi_q(\text{TOP}(3)/\text{Diff}(3)) = 0$  for  $q < 6$  [10], this implies  $KS(M) = KS(\gamma) = 0$ . This completes the proof of the main Theorem and Corollary 1.

*Proof of Corollary 2.* In case (i), [2] has stated that the condition about quadratic form in the Main Theorem B(ii) is fulfilled. In case (ii), by [13], there is always a class  $\bar{\omega} \in H$  so that  $\bar{\omega} = n/4$ , where  $n = \text{rank } H_2(M; \mathbf{Q}) = \text{sign } M$ . Let  $\omega = 2\bar{\omega}$ , which satisfies the requirement in the Theorem B(ii).

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